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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 004860.P2440
First Inventor or Application Identifier Bertrand Serlet
Title METHOD AND SYSTEM FOR SEAMLESSLY ACCESSING REMOTELY
Express Mail Label No. EM560647498US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents
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1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification *Total Pages* 31
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) *Total Sheets* 7
4. Oath or Declaration *Total Pages* 4
- a. ☒ Newly executed (original copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 16 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO - 1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☐ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ *Small Entity Statement filed in prior application, Statement(s) ☐ Status still proper and desired
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UNITED STATES PATENT APPLICATION

FOR

METHOD AND SYSTEM FOR SEAMLESSLY
ACCESSING REMOTELY STORED FILES

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**METHOD AND SYSTEM FOR SEAMLESSLY
ACCESSING REMOTELY STORED FILES**

BACKGROUND

Field of the Invention

This invention relates to a the field of networked file systems and personal computers. More specifically, the invention relates to a system and method that allows
5 personal computer users to access files over a network that are located on a remote computer system from any program on the local personal computer.

Background

Traditionally, most computers were used at work and may have been connected within a company by a local area network (LAN). The company's LAN may have been
10 connected to other offices or partners of the company by a wide area network (WAN), or a company's computers may have been directly connected to a WAN. Such connections allow for companies to easily share data by storing and retrieving data on remote computers over private networks. In addition, remote disk storage available over a network is used to back up data from local computers, thus freeing up local disk space.

15 The internet is a publicly accessible global wide area network. The internet and personal computers have become ubiquitous in modern society. People regularly connect to web sites via their personal computer for any number of purposes. Although the internet has existed in various forms for many years, the internet only became popular as a mass communication vehicle with the introduction of the world wide web. The world wide web
20 is, from the user's perspective, a way of easily identifying a remote computer, connecting to the remote computer, and viewing information stored on the remote computer. However, until recently, personal computer users have not used the internet and web sites for personal data storage and retrieval.

While using the internet, hidden from the user are the various communications
25 protocols that make the internet function. Various committees and *ad hoc* groups known as

working groups coordinate and control the internet. The Internet Engineering Task Force (IETF) is the protocol engineering and development arm of the internet. Working groups under the IETF determine the rules and protocols for the underlying functionality of the internet and publish them as requests for comment, commonly referred to as RFCs. Each

5 working group makes its RFCs available via the internet at various web sites. A central point for obtaining the RFCs referenced below is the IETF's web site, www.ietf.org. (No mailing address or geographic location is provided by the IETF). In addition, an organization called the World Wide Web Consortium (W3C) has been formed to continue the work of the IETF, although, the IETF and the W3C exist concurrently. (See
10 www.w3c.org; The W3C may be contacted at Massachusetts Institute of Technology, Laboratory for Computer Science , 545 Technology Square, Cambridge, Massachusetts 02139).

Web sites are specified by a text description or name referred to as a uniform resource locator (URL) that is now encompassed by the term uniform resource identifier (URI). (See Uniform Resource Identifiers (URI): Generic Syntax, RFC 2396, August
15 1998, Draft Standard). Information is communicated over the word wide web via the transmission control protocol/internet protocol, commonly referred to as TCP/IP. (For more information see A TCP/IP Tutorial, RFC 1180, January 1991). An application level protocol running on top of TCP/IP that allows for accessing remote computers via the
20 internet by specifying a URI is the hypertext transfer protocol (HTTP, see HTTP/1.1, RFC 2616, June 1999, Draft Standard). It is the widespread acceptance and use of HTTP that has made the world wide web as we know it possible. Extensions to HTTP for distributed authoring and versioning via the world wide web, referred to as WebDAV, are beginning to be widely used. (See WebDAV, RFC 2518, February 1999, Proposed
25 Standard). The WebDAV extensions to HTTP require that communication pursuant to the WebDAV protocol be formatted according to the extensible markup language (XML). (see XML 1.0 available from www.w3.org/TR/REC-xml). WebDAV allows persons using programs that support WebDAV to access files stored on a WebDAV enabled HTTP server,

more commonly referred to as a web site. WebDAV provides for reading, writing (*i.e.*, creating), partial reading, partial writing, locking, property changes, and other access to remotely stored files.

Various companies have begun offering internet users free storage space on remote servers. These remote servers are web sites running WebDAV enabled HTTP with additional software running to provide a web based interface to the disk space made available on the remote server. Companies such as Xythos Software, Inc. of Redwood City, California that provides a web site called Sharemation (www.sharemation.com), My Docs Online! Inc. of Naples, Florida (www.MyDocsOnline.com), and Driveway Corporation of San Francisco, California (www.driveway.com) allow personal computer users to create a directory on the company's web site and store files for secure personal use. These companies provide any personal computer user access to a remote storage device and provide a facility that allows personal computer users to write files to and retrieve files from the remote computer, thus providing the same benefits that were historically only available to companies or businesses via private networks.

However, these public access storage companies do not provide a seamless way for a personal computer user to access remotely stored files from all application programs on their personal computer. The companies only allow a user to drag and drop or otherwise store files to or retrieve files from the web site when the user is outside of application programs. Some of the public access remote storage web sites allow for access from one specified application program via extensions to the application program, or require the application to be run in conjunction with an internet web browser (such as Internet Explorer 5.0 from Microsoft Corporation of Redmond, Washington). Although the companies provide remote storage for internet users, easy access is not provided for from all application programs on a personal computer.

BRIEF SUMMARY OF THE INVENTION

This invention provides a system and method by which users via programs on one computer may seamlessly access files remotely stored on other computers that run a well known file access protocol. As such, the method and system are referred to as the seamless file system or SFS. SFS allows all programs running on a personal computer to access remote files as easily and in the same manner as accessing files on the personal computer's file system without requiring any changes to the program's method of communicating with the computer's existing file system. In one embodiment, the SFS provides an operating system extension and an application level network access program. The operating system extension receives file system requests for remote files from the operating system that were issued according to a well known application program interface. The operating system extension forwards the remote file system request to the network access program. The network access program reformats the request according to a well known application level network protocol extension and sends it over a network to a remote computer system. The network access program receives responses over the network from the remote computer system in the well known format, processes the response, and forwards pertinent information to the operating system extension. The operating system extension then passes pertinent information to the program that issued the remote file system request via the well known application program interface. In one embodiment, the remote file system is cached on the local file system so that remote file system requests may be enacted on the locally cached copy. The locally cached copy of the remote file system and the remote file system are updated and synchronized upon the occurrence of defined events. In one embodiment, SFS seamlessly allows users of personal computers to access files on remote computers over the internet via the features of WebDAV enabled HTTP.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 depicts a computer system and network environment in which one embodiment of the method and system of the seamless file system is executed.

5 Figure 2 depicts the software architecture of one embodiment of the seamless file system.

Figure 3 depicts the flow of actions taken by a personal computer user according to one embodiment of the seamless file system method and system.

Figure 4A depicts the flow of actions taken by a seamless file system according to one embodiment of the seamless file system method and system.

10 Figure 4B depicts the flow of actions taken by a seamless file system according to one embodiment of the seamless file system method and system that includes caching.

Figure 5 depicts the flow of actions taken by a seamless file system plug-in extension to an operating system according to one embodiment of the seamless file system method and system.

15 Figure 6 depicts the flow of actions taken by a seamless file system network access application program according to one embodiment of the seamless file system method and system.

DETAILED DESCRIPTION

This invention provides a system and method by which users via programs on one computer may seamlessly access files remotely stored on other computers that run a well known file access protocol. As such, the method and system are referred to as the seamless file system or SFS. The goal of SFS is to allow seamless access to remotely stored documents by all personal computer file system clients. SFS allows all programs running on a personal computer to access remote files as easily and in the same manner as accessing files on the personal computer's file system without requiring any changes to the program's method of communicating with the computer's existing file system. In one embodiment, SFS seamlessly allows users of personal computers to access files via the internet by using the features of WebDAV enabled HTTP.

A. The Environment In Which One Embodiment Of The SFS Runs

Figure 1 depicts a computer system and network environment in which one embodiment of the method and system of the seamless file system is executed. SFS runs on a personal computer 10. Personal computer 10 may be any computing device that can execute software programs and access the internet, including, but not limited to, cellular telephones, personal digital assistants, desktop personal computers, portable computers, computer workstations, etc. Personal computer 10 comprises a processor 12 to execute software programs. Processor 12 may be any computer processor. When executing programs, the processor utilizes memory 14. Memory 14 may be any form of volatile random access memory (RAM). Information is read from and written to local storage device 16 coupled to the personal computer via controller 18. Storage device 16 may be a device that includes a machine readable medium such as, for example, writeable disk drives including, for example, a hard disk or a readable and writeable compact disk (CDRW), and other devices such as, for example, tape readers/writers and memory card readers/writers. The processor may communicate instructions to display controller 20 to display images on display device 22. Display controller 20 may be any display controller known to those skilled in the art, and display device 22 may be any display monitor known to those skilled

in the art, including, but not limited to, a cathode ray tube (CRT) display monitor, or thin film transistor (TFT) display screen. A user accesses personal computer 10 via any computer input device known to those skilled in the art, such as, for example, keyboard 24 and mouse 26 which are coupled to the processor by an input/output (I/O) controller 28.

5 To access information not stored on local storage device 16, computer 10 includes a network access unit 30 which allows the personal computer to communicate over a network such as internet 32 with remote computer 34 and to access information stored on remote storage device 36. Network access unit 30 may be a modem or any other device for connecting to a network either directly or via a telephone dial-up connection. Remote
10 computer 34 may be any kind of computer known to those skilled in the art, including, but not limited to, personal computers and servers. Remote storage device 36 may be any readable storage medium known to those skilled in the art such as, for example, hard disk drives or an array of hard disk drives. Although only one personal computer and one remote computer are depicted, multiple personal computers and multiple remote computers
15 may be connected to internet 32. Processor 12, memory 14, local storage device 16, display controller 20, I/O controller 28 and network access unit 30, are coupled to one another via and communicate with one another over bus 11. Bus 11 may be any bus known to those skilled in the art. Although only one bus is depicted, multiple buses may be used in personal computer 10. In addition, other components and controllers known to
20 those skilled in the art (not depicted) or multiple instances of depicted components and controllers may be included in personal computer 10.

B. The Software Architecture Of One Embodiment Of A Seamless File System

Figure 2 depicts the software architecture of one embodiment of the seamless file system. In one embodiment, the personal computer 10 running the SFS includes an
25 extension to the operating system referred to as SFS plug-in 50 and an application level program referred to as the SFS network access application program (or the SFS network access program) 52. Via file system interface 56, SFS plug-in 50 receives requests from an application program 54 which the application program 54 directed to the personal

computer's file system interface 56, commonly referred to as an application program interface (API). SFS plug-in 50 provides for file system call parsing and communicates with SFS network access program 52 in addition to file system interface 56 of operating system 48. In one embodiment, the communication between SFS plug-in 50 and SFS network access program 52 is via local sockets.

SFS network access application program 52 is responsible for establishing network connections and protocol communication with remote computers such as remote computer 34 running a well-known application level internet protocol. In one embodiment, the well known protocol is WebDAV enabled HTTP. In such an embodiment, SFS network access program 52 is a WebDAV client that communicates via the TCP/IP transport layer 58 of operating system 48 over a network, such as the internet 32, with WebDAV enabled HTTP servers such as remote computer 34. In such an embodiment, remote computer 34 includes WebDAV enhanced 60 HTTP application level server software 62 which provides world wide web communications and file access over internet 32. In such an embodiment, remote computer 34 communicates via TCP/IP transport layer 64, which is part of operating system 66.

C. Using A Seamless File System

Figure 3 depicts the flow of actions taken by a personal computer user according to the seamless file system method and system. After a user starts a personal computer, as shown in block 300, the user establishes a connection to the internet according to any method known to those skilled in the art, as shown in block 302. If the user has an "always on" internet connection, this step may be skipped. The user may then execute an SFS startup program, as shown in block 304, to mount the remote server so it appears as if it were a local disk drive. The user may execute the SFS startup program according to any method known to those skilled in the art, including, but not limited to, pull down menus or desktop icons. The SFS startup program requests that the user specify a remote file system to be added to a list of available drives, as shown in block 306. The SFS startup program may receive from the user, in one embodiment, text input of the name of the web site, the

URI, on which the user's remote files reside. In another embodiment, the SFS startup program requires the user to specify a complete path to a directory on a remote web site that includes a URI. In yet another embodiment, the SFS startup program may also receive input specifying private network file servers. In this embodiment, if the private network

5 server is not a WebDAV enabled HTTP server, the information is passed to the operating system to be handled according to methods known to those skilled in the art. In one embodiment, the SFS startup program may, after receiving a URI specifying a remote website, prompt the user to type in the name of the directory on the web site in which the remotely stored files reside. In another embodiment, the SFS startup program graphically

10 prompts the user to select a remote file system and/or directory by pointing to and clicking on graphical images, and then may prompt for a password.

In another embodiment, the user may also be prompted by the SFS startup program to name the remote file system. This is achieved by methods known to those skilled in the art, such as by prompting the user to enter a textual name to represent the remote file system

15 at the same time the SFS startup program requests a URI, or as a step after the URI is provided.

In one embodiment, after the user specifies the name of the remote file system server and the remote file system directory, this information is stored so that whenever the user connects to the internet, the remote file system is automatically mounted. In another

20 embodiment in which the user has an "always on" connection to the internet, after the user specifies the name of the remote file system server and, in some embodiments, the remote file system directory, this information is stored so that whenever the user restarts the computer, the remote file system is automatically mounted. In these embodiments, the user may be asked by the SFS startup program whether a password providing authenticated

25 access to the remote file system should be stored and not requested for future connections to the remote server, or whether the password should always be requested whenever the remote file system is to be mounted and accessed. In another embodiment, a key chain, such as that provided by with the Mac OS® 9 operating system available from Apple

Computer, Inc. of Cupertino, California, may be used to store passwords to multiple remote file systems such that providing a single password to the key chain provides access to all of the passwords on the key chain.

After mounting the remote file system, the user runs any programs, as shown in block 308, and may then use any programs on the computer to access remotely stored files in the same way the user accesses files stored locally, as shown in block 310. The remote file system is accessed as if it were another hard disk drive stored on the user's computer. In one embodiment, when a user accesses a remote file for the first time, the SFS may then request the user to provide a password to authenticate access to the remote files.

10 D. Actions of A Seamless File System

Figure 4A depicts the flow of actions taken by a seamless file system according to one embodiment of the seamless file system method and system. A user via an application program such as a word processor makes a file system request involving a pathname and file that refers to a file on a WebDAV enabled HTTP server, as shown in block 400. By virtue of the SFS startup program having been run or other SFS initialization occurring, the file system interface of the operating system's application program interface will direct the request to the SFS plug-in, as shown in block 402. Then, the SFS plug-in processes the request and sends it to the SFS network access program, as shown in block 404. In one embodiment, the SFS plug-in establishes a socket connection with the SFS network access program, and sends the pathname or other identifier along with the appropriate parameters and a request type through the socket to the SFS network access program. The SFS network access program processes the request and sends it to the appropriate WebDAV enabled HTTP server to achieve the requested action, as shown in block 406. In one embodiment, the SFS network access program processes the request by examining the request type, reformatting the request in the appropriate WebDAV or HTTP format, and communicating over the internet with the appropriate WebDAV enabled HTTP server. Requested actions include, for example, delete a file, read a file, move a file between directories on the server, etc. The SFS network access program then receives a response

from the WebDAV/HTTP server which includes information appropriate to the request, as shown in block 408. The response may include a WebDAV/HTTP status code. The SFS network access program then processes the response and returns status information and, in appropriate cases, other information to the SFS plug-in, in one embodiment, via a socket, as shown in block 410. The SFS plug-in formats the returned information, if needed, and sends status and returned information, if any, to the requesting user application program via the operating system's file system interface, as shown in block 412. In one embodiment, the SFS plug-in may reformat a WebDAV/HTTP status code as a local system error code.

Figure 4B depicts the flow of actions taken by a seamless file system according to one embodiment of the seamless file system method and system that includes caching. A user via an application program makes a file system request for a remotely stored file on a WebDAV enabled HTTP server, as shown in block 420. In one embodiment, by virtue of the SFS startup program having been run or other SFS initialization occurring, the file system interface of the operating system's application program interface will direct the request to the SFS plug-in, as shown in block 422. The SFS plug-in then determines whether the file is in the local cache, as shown in block 424. If the remotely stored file is not cached locally, the SFS plug-in then sends the request to the SFS network access program, as shown in block 426. The SFS network access program processes the request and sends it to the appropriate WebDAV enabled HTTP server to achieve the requested action, as shown in block 428. If the request is a write request such as a write file, rename file, rename directory, etc., the request may include file data and file information needed to accomplish the write request. If the request is a read request, the request , may include a file name, file descriptor or identifier, etc. The SFS network access program then receives a response from the WebDAV/HTTP server which includes information appropriate to the request, as shown in block 432. The SFS network access program then processes the response and concurrently sends information responsive to the request to the SFS plug-in, as shown in block 436, and caches file information and file data in a local cache. The file information and data stored are dependent on the information received from the

HTTP/WebDAV server and may include some or all of the file itself or a part thereof, file size, file name, path name, time and date file created, author information, access information, etc. The SFS plug-in then formats the information, if needed, and sends it to the requesting user application program via the operating system's file system interface, as shown in block 438.

If the requested file or file information was already in the local cache, as shown in block 424, a check is then made to determine what kind of request was made, as shown in block 440. In one embodiment, file requests may be defined as being in Group 1 or Group 2. Group 1 file requests are those requests that seek information about or modify information involving the internal contents of files. Examples of Group 1 requests include read a file, obtain a directory listing, write a file, etc. Group 2 requests are those requests that impact information about the file or treat the file as an object. Group 2 requests include requests to create or delete a file or directory, rename a file or directory, open a file to prepare it for I/O, etc. If the request is a Group 1 request, execution continues as discussed above at block 426. If the request is a Group 2 request, the SFS plug-in obtains the requested file or file information from the local cache, as shown in block 442, bypassing any communication with the remote WebDAV/HTTP server. The SFS plug-in then formats the information retrieved from the cache, if necessary, and sends it to the user application program. Depending on the request, the information sent to the user application program may be a directory listing, a file, the contents of a file, etc.

E. A Seamless File System Plug-In Extension to an Operating System

Figure 5 depicts the flow of actions taken by a seamless file system plug-in extension to an operating system according to one embodiment of the seamless file system method and system. When the SFS has been installed, the SFS includes the SFS plug-in extension to the computer system's operating system. More specifically, the SFS plug-in is an extension to the file system of the operating system. Upon a user requesting a file from a remote file system via an application program, the application program issues a request via a well known application program interface to the computer's file system. The file

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system receives this request, as shown in block 500, and, if the file request is for a local file, as shown in block 510, the request is passed to the operating system which then accesses the local file system, as shown in block 512. If the file request is not for a local file, as shown in block 510, local file system interface directs the request to the SFS plug-in. To simplify the discussion herein, prior art and well known methods of responding to requests for remotely stored files accessible via other protocols, systems and methods are not discussed, but may exist concurrently with SFS. The SFS plug-in receives the file system request that originated with a user request of an application program from the file system interface to the operating system, as shown in block 514. The SFS plug-in then passes the request to the SFS network access program, as shown in block 516. In one embodiment, the SFS plug-in also processes the request and formats it before passing the request to the SFS network access program. The SFS plug-in then receives a response from the SFS network access program, as shown in block 518. The SFS plug-in may process the response and pass information from the response to the requesting user application program via the local file system interface, as shown in block 520.

F. A Seamless File System Network Access Application Program

Figure 6 depicts the flow of actions taken by a seamless file system network access application program according to one embodiment of the seamless file system method and system. As discussed above, after a user makes a request for a remotely stored file via an application program, the SFS network access program receives a request involving a remotely stored file from the SFS plug-in, as shown in block 600. The SFS network access program formats the request in a well known format to achieve the requested action, as shown in block 610. As discussed above, in one embodiment, the request is formatted as an HTTP request that may in some instances include a WebDAV method which may in some instances include further information in XML format. The SFS network access program then sends the request to a remote computer system over a network, as shown in block 612. In one embodiment, the SFS network access program then forwards the request to a user specified WebDAV/HTTP server over the internet. The SFS network

access program receives a response to the request from the remote computer system, as shown in block 614. In one embodiment, the SFS network access program determines if the response is a substantive response as opposed to an error message, error code or a timeout, as shown in block 616. If the response is a substantive response, the SFS
5 network access program extracts information from the response, as shown in block 618, and sends the information to the SFS plug-in, as shown in block 620.

If the response the SFS network access program received from the remote computer system was not a substantive response, as shown in block 616, the SFS network access program checks to determine whether an error message, error code or a timeout was
10 returned, as shown in block 622. If the response was an error message or error code, execution continues at blocks 618 and 620, as already discussed, such that the error message or error code is extracted, may be translated, and is forwarded to the SFS plug-in. If the non-substantive response is a timeout, the request is resent, as shown in block 624, and execution continues at block 614, as discussed above.

15 In one embodiment, the SFS network access program maintains internal data structures to allow it to efficiently respond to requests from user application programs and process responses from remote computer systems. In one embodiment, to overcome limitations of the WebDAV extensions to HTTP, SFS locally caches remote files and remote file system information. In this embodiment, the SFS network access program
20 creates and maintains cache files referred to as the SFS cache such that requests made regarding remotely stored files may be executed on locally stored copies of the files by the SFS plug-in. After operations on a particular file are completed, or in other appropriate circumstances, the modified cache file is then communicated to the remote server, thus, updating the file stored on the remote computer system and synchronizing the locally stored
25 cache copy with the remotely stored file. Such caching is hidden from the SFS user. For example, in one embodiment, a request to open a file will result in the SFS network access program creating a cache file which contains the contents of the remote file. In such an embodiment, the SFS network access program returns a file descriptor to the newly created

cache file, which is used for subsequent read and write operations by the requesting user application program and the SFS plug-in.

The SFS cache may be implemented according to any methods known to those skilled in the art. In one embodiment, "invisible caching" is used. In such an embodiment, immediately after the SFS network access program creates and opens a local cache file, the cache file is deleted such that the data for the local cache remains valid, but the name of the locally cached file is removed from the local file system directory. One advantage of "invisible caching" is that users are prevented from stumbling across cache files as the entire SFS cache is invisible to the user. Another advantage of using "invisible caching" is that all local cache files are created in a single directory, thus simplifying the management of the SFS cache. In such an embodiment, information reflecting the directory structure on the remote server may be saved as an invisible file.

In another embodiment, "parallel hierarchical caching" is used. Because the combination of WebDAV's name space definitions and the domain name registry essentially guarantees that all URIs are unique, a hierarchical local cache may be created based on the URIs supplied by users. In this embodiment, the directories in the cache persist and remain present in the name space. This embodiment creates a parallel local file hierarchy to that of each WebDAV server accessed. In this embodiment, only the files actually referenced by users need appear in the local SFS cache. In this embodiment, local cache files may be used recurrently, thus reducing the number of file requests to and file downloads from the network. An advantage of using "parallel hierarchical caching" is that the SFS network access program is not required to maintain a map of the locally replicated remote file system.

In yet another embodiment, "map caching" is used. In this embodiment, cache files are created with standard names such as sfs-000001, and a mapping from URIs to cache files is maintained by the SFS network access program and/or the SFS plug-in. Just as with "parallel hierarchical caching", in this embodiment, local cache files may be used recurrently, thus reducing the number of calls to and downloads from the network. Such

an embodiment requires fewer calls to the local file system than “parallel hierarchical caching” to maintain the SFS cache.

In addition, in one embodiment, the SFS network access program maintains an array of file descriptors paired with URIs. When a file is opened and the SFS network access program opens a local cache file, in one embodiment, the SFS network access program places the file descriptor together with the specified URI in the next available array element. In such an embodiment, when processing an open file request, the SFS network access program returns the index into the array as a file handle to the SFS plug-in along with a file descriptor. The SFS plug-in uses the file descriptor to access the cached file. In this embodiment, subsequent operations which require activity by the SFS network access program result in the file handle being passed to the SFS network access program by the SFS plug-in such that the SFS network access program identifies the corresponding URI by accessing the array and then communicates with the appropriate remote server.

As discussed above, remote file requests are intercepted by the SFS plug-in and passed to the SFS network access program. The information passed between the SFS network access program and the SFS plug-in is specific to each operation supported but generally includes, in one embodiment, the URI of the remote file, or sufficient information for the SFS network access program to reconstruct the URI as set forth in the prior paragraph. In most cases the remote file requests will return either success or standard errors translated by the SFS network access program from the error values returned by the WebDAV/HTTP server.

Because of the transparent nature of the user interface, to provide for a uniform interface to all files requested by a user of a computer system, be the files remote or local, the user may specify all files, both remote and local, in the same manner using the syntax required of the local file system. That is, users of systems incorporating the SFS and local file system clients may request remotely stored files as if the files were locally stored according to the syntax defined by the local file system and local operating system. More specifically, an operating system in conjunction with a local file system defines which

characters can and cannot be used in file names. However, the remote server may only support use of a subset of the characters allowed on the local file system. In one embodiment, the local file system allows for the use of a blank space, a left square bracket “[“, right square bracket “]”, pound sign “#”, question mark “?” and other special or non-alphanumeric characters in file names. In various embodiments, the filenames may be represented by the operating system according to the American Standard Code for Information Exchange (ASCII) specification, the Unicode Transformation Format eight bit (UTF-8) encoding standard, etc. However, WebDAV enabled HTTP servers only accept file names in the form defined in the URI specification. (see above). The URI

specification limits the use of characters to be used in file names to ASCII alphanumeric characters. The URI specification defines particular reserved or special uses for a list of special characters. To overcome the limitations of the character set allowed to represent file names on WebDAV/HTTP servers, the SFS network access program translates outgoing requests from the local operating system/file system format of allowed characters to a sequence of URI allowed characters in which the special characters are represented as escape sequences. Similarly, the SFS network access program reverse translates incoming information from the remote WebDAV/HTTP server which are in URI format to the format of the local operating system/file system, translating escape sequence characters into ASCII, UTF-8, etc.

The URI specification provides that the reserved, special characters may be represented by escape sequences. This allows for use of the special characters in a “hidden” manner and avoidance of any unintended interpretation of the special characters. The URI specification defines an escape sequence as a percent sign “%“ followed by the two digit hexadecimal representation of the ASCII code of the special character. For example, if a remote file name is specified by a user of a local computer system to include a blank space such as the name “my file”, the file name would be translated and represented as “my%20file” because 32 (decimal) is the ASCII code for blank space. Another example is “letter #1 (to Bob)” which is translated into “letter%20%231%20%28to%20Bob%29”.

Upon receipt of file names, directory names, paths, etc. from a WebDAV/HTTP server in URI syntax, the file names, directory names, paths, etc. are reverse translated from the URI syntax into the local operating system syntax such as, for example, ASCII or UTF-8.

In one embodiment, the SFS network access program performs the translation when the

5 SFS network access program formats the request in a well known format, as shown in block 610, and may perform the reverse translation in any of blocks 614, 618, or 620.

G. Some SFS Supported Operations

Various file system operations may be supported by SFS. Such file system operations are well known in the art. Examples of some file system operations and how they may be implemented in one embodiment of SFS follow.

1. Opening And Closing Directories and Files

In one embodiment, the SFS plug-in serves as a pass through file system. In this embodiment, the SFS plug-in intercepts file system requests directed to a remote file system, manipulates the arguments, and instructs the local file system to perform a
15 sequence of operations on the locally stored cache file corresponding to a requested remote file or remote directory. When opening a remote file, the open command causes the SFS network access program to create a cache file, issue the appropriate WebDAV method to lock the file exclusively on the remote server, issue the appropriate WebDAV/HTTP method to retrieve the contents of the target file from the remote WebDAV/HTTP server,
20 and write the retrieved data into the newly created cache file. The SFS network access program sends a file handle to the SFS plug-in when the requested operation is complete. The SFS plug-in may retain the file handle of the cache file for future local access to a copy of the remote file. In this embodiment, subsequent file descriptor operations may be redirected to the cache file by the SFS plug-in. The file system interface of the local file
25 system on which the local cache file resides is called to perform the file descriptor operations. On FSYNC or CLOSE, the SFS network access program issues the appropriate HTTP method to write the modified contents of the locally cached file to the

remote server. The SFS network access program uses the file handle to identify which remote file to close and unlock.

The sequence of actions taken by SFS to open a directory is similar. In one embodiment, because the WebDAV protocol does not support directory enumeration, the SFS network access program issues a WebDAV PROPFIND request for all properties using the directory URI and specifying a header depth of one. In response, the WebDAV/HTTP server returns the specified properties of all of the items in the collection, that is, all files in the directory on the server. In one embodiment, the SFS network access program parses the returned XML property list to determine the names of the entries in the directory, and then builds a local cache file which allows the remote file system to be replicated according to the local computer system's directory listing style, complete with directory entries. The file descriptor for the locally cached file representing the remote directory listing is returned.

In one embodiment, a request to close a directory causes the SFS network access program to close the locally cached file representing the directory. In such an embodiment, no communication is necessary with the WebDAV/HTTP server.

2. Creating Files

When a create request is passed to the SFS network access program by the SFS plug-in, the SFS network access program sends the URI of the target file via a WebDAV PUT method to the remote WebDAV/HTTP server. If a cache file already exists for the directory where the new file entry is to be created, in one embodiment, the cache file is updated to include the newly created entry. In another embodiment, the cache file may be flushed and recreated to include the new file information.

3. FSYNC

In one embodiment, FSYNC is the primary synchronization routine used for moving data from the local cache to the server. FSYNC calls are communicated by the SFS plug-in to the SFS network access program using the file handle supplied by the SFS network access program in response to an OPEN request. The SFS network access

program issues the appropriate WebDAV method to push the data back to the remote server. The SFS network access program also returns any errors received from the WebDAV/HTTP server to the SFS plug-in.

4. Get And Set Attributes

5 In one embodiment, attributes are retrieved and set by the SFS network access program via the PROPFIND and PROPATCH WebDAV protocol methods, respectively.

5. Creating Directories

In one embodiment, directory creation calls, which may be the well known MKDIR, cause the SFS network access program to issue a WebDAV MKCOL request to
10 the WebDAV server to make a collection.

6. Reading A File

In one embodiment, read requests cause data to be read from the local cache file corresponding to the remotely stored file that was specified with the read request. If there is no locally cached copy of the requested remote file, the WebDAV extensions to the
15 HTTP protocol support the ability to retrieve parts of a file without retrieving a whole file. Thus, when files are to be opened for read access only, the SFS network access program may retrieve only the requested portion of a file from the specified WebDAV/HTTP server for each read request.

7. Writing A File

20 In one embodiment, all write requests are performed on a locally cached copy of the specified remotely stored file. Written to files are synchronized on FSYNC or CLOSE. In other embodiments, more frequent synchronization between the locally cached version of the file and the remotely stored file may be achieved when certain conditions are met, such as, for example, at the conclusion of a predetermined period of time or when a predefined
25 percentage of the locally cached file has been changed.

8. Reading A Directory

Reading a directory may be requested via the commonly known READDIR command. In one embodiment, a request to read a directory may be made only after the

directory has been opened. In this embodiment, all read directory requests are redirected by the SFS plug-in to access a locally cached representation of the already opened requested remote directory.

9. Removing A Directory and Deleting a File

5 Upon receiving a request to remove a directory or delete a file on a remote server, in one embodiment, the SFS network access program issues a WebDAV DELETE request to the WebDAV/HTTP server specifying the requested URI and, when appropriate, the file to delete. If a locally cached representation of the remote directory exists for the directory containing the file to be deleted, in one embodiment, the locally cached copy of the remote
10 directory listing is updated to remove the deleted entry. In another embodiment, the SFS network access program flushes the locally cached copy of the remote directory listing and recreates a locally cached copy of the directory listing by issuing a WebDAV PROPFIND request to the WebDAV/HTTP server for the appropriate URI and any subdirectory. If a locally cached copy of the remote file exists, the SFS network access program flushes the
15 locally cached copy of the remotely stored file.

10. Truncate

In one embodiment, all truncate requests are performed on a locally cached copy of the specified remotely stored file. Truncated files are synchronized on FSYNC or CLOSE.

In the foregoing specification, the invention has been described with reference to
20 specific embodiments thereof. It will, however, be evident that various modifications and changes can be made thereto without departing from the broader spirit and scope of the invention as set forth in the appended claims. The specification and drawings are, accordingly, to be regarded in an illustrative rather than a restrictive sense. Therefore, the scope of the invention should be limited only by the appended claims.

CLAIMS

What is claimed is:

1 1. A system comprising:
2 a plurality of web servers running the distributed authoring and versioning
3 (WebDAV) enabled hypertext transfer protocol (HTTP) coupled to the internet; and
4 a plurality of personal computers coupled to the internet, each personal computer
5 comprising an operating system extension that forwards file system requests involving file
6 systems stored on one of the plurality of web servers to a network access application
7 program on the personal computer that sends the file system requests as at least one
8 WebDAV or HTTP request to an appropriate web server.

1 2. The system of Claim 1 wherein the network access application program processes a
2 plurality of responses to the file system requests received as WebDAV or HTTP packets
3 and passes the responses to the operating system extension which forwards information
4 from the responses.

1 3. The system of Claim 2 wherein the file system requests involving file systems
2 stored on one of the plurality of web servers originate from an operating system client, and
3 the information from the responses is forwarded to the operating system client.

1 4. The system of Claim 3 wherein the operating system client is any of a plurality of
2 user accessible application programs.

1 5. The system of Claim 4 wherein the operating system extension and the network
2 access application program communicate with each other via sockets.

- 1 6. A method comprising:
 - 2 receiving a file system request involving a remote file system;
 - 3 creating a hypertext transfer protocol (HTTP) or distributed authoring and
 - 4 versioning (WebDAV) formatted request (HTTP/WebDAV formatted request);
 - 5 forwarding the HTTP/WebDAV formatted request to an appropriate WebDAV
 - 6 enabled HTTP server;
 - 7 receiving a response from the WebDAV enabled HTTP server; and
 - 8 transferring an information contained in the response.
- 1 7. The method of Claim 6 wherein receiving a file system request comprises:
 - 2 obtaining the file system request from an operating system extension.
- 1 8. The method of Claim 6 wherein receiving a file system request comprises:
 - 2 obtaining at least a uniform resource identifier (URI) and a request type.
- 1 9. The method of Claim 8 wherein creating comprises:
 - 2 selecting an appropriate WebDAV/HTTP method responsive to the request type.
- 1 10. The method of Claim 6 further comprising:
 - 2 extracting an information from the response.
- 1 11. The method of Claim 10 wherein extracting comprises:
 - 2 converting a WebDAV/HTTP status code to a corresponding local operating system
 - 3 error code.
- 1 12. The method of Claim 10 further comprising:
 - 2 creating a local cache file to store at least the information.
- 1 13. The method of Claim 12 wherein transferring comprises:
 - 2 passing a file handle to the local cache file or at least a portion of the information.

1 14. The method of Claim 10 further comprising:

2 updating a local cache file responsive to the information.

1 15. A method comprising:

2 receiving a file system request from an application program via an application
3 program interface;

4 if the file system request involves a remote file system, forwarding the file system
5 request to a network access application program that creates a corresponding hypertext
6 transfer protocol (HTTP) or distributed authoring and versioning protocol (WebDAV)
7 formatted request (HTTP/WebDAV formatted request);

8 forwarding the HTTP/WebDAV formatted request to an appropriate WebDAV
9 enabled HTTP server over the Internet;

10 receiving a response from the WebDAV enabled HTTP server in WebDAV or
11 HTTP format such that the network access application program creates a reformatted
12 response; and

13 transferring the reformatted response to the application program via the application
14 program interface.

1 16. The method of Claim 15 wherein receiving a file system request comprises:

2 obtaining at least a uniform resource identifier (URI) and a request type.

1 17. The method of Claim 15 wherein receiving a response further comprises:

2 creating a local cache file to store an information extracted from the response.

1 18. The method of Claim 17 wherein transferring comprises:

2 passing a file handle to the local cache file or at least a portion of the information.

1 19. The method of Claim 15 further comprising:

2 updating a local cache file responsive to an information extracted from the response.

1 20. The method of Claim 15 further comprising:

2 if the file system request involves a locally cached remote file system, obtaining
3 information responsive to the file system request from a local cache file.

1 21. The method of Claim 20 wherein receiving a file system request comprises:

2 extracting a file handle to the locally cached remote file system from the file system
3 request.

1 22. The method of Claim 20 wherein forwarding the request to a seamless file system,

2 forwarding the HTTP/WebDAV formatted request, and receiving a response are bypassed
3 when the file system request involves the locally cached remote file system.

1 23. A machine readable medium having stored thereon instructions which when

2 executed by a processor cause the machine to perform operations comprising:

3 receiving a file system request from an application program via an application
4 program interface;

5 if the file system request involves a remote file system, forwarding the file system
6 request to a network access application program that creates a corresponding hypertext
7 transfer protocol (HTTP) or distributed authoring and versioning protocol (WebDAV)
8 formatted request (HTTP/WebDAV formatted request);

9 forwarding the HTTP/WebDAV formatted request to an appropriate WebDAV
10 enabled HTTP server over the Internet;

11 receiving a response from the WebDAV enabled HTTP server in WebDAV or
12 HTTP format such that the network access application program creates a reformatted
13 response; and

14 transferring the reformatted response to the application program via the application
15 program interface.

1 24. The machine readable medium of Claim 23 wherein receiving a file system request
2 comprises:

3 obtaining at least a uniform resource locator (URL) and a request type.

1 25. The machine readable medium of Claim 24 wherein receiving a response comprises:

2 if a corresponding local cache file exists, updating the corresponding local cache file
3 responsive to an information extracted from the response; and

4 if the corresponding local cache file does not exist, creating the corresponding local
5 cache file to store the information extracted from the response.

1 26. The machine readable medium of Claim 25 wherein transferring comprises:

2 passing a file handle to the corresponding local cache file or at least a portion of the
3 information.

1 27. The machine readable medium of Claim 23 wherein the instructions executed by the
2 processor cause the system to perform operations further comprising:

3 if the file system request involves a locally cached remote file system, obtaining
4 information responsive to the file system request from a local cache file.

1 28. The machine readable medium of Claim 27 wherein receiving the file system request
2 comprises:

3 extracting a file handle to the locally cached remote file system from the file system
4 request.

1 29. The machine readable medium of Claim 27 wherein forwarding the request to a
2 seamless file system, forwarding the HTTP/WebDAV formatted request, and receiving a
3 response are bypassed when the file system request involves the locally cached remote file
4 system.

1 30. A machine readable medium having stored thereon instructions which when
2 executed by a processor cause the machine to perform operations comprising:
3 receiving a file system request involving a remote file system;
4 creating a hypertext transfer protocol (HTTP) or distributed authoring and
5 versioning (WebDAV) formatted request (HTTP/WebDAV formatted request);
6 forwarding the HTTP/WebDAV formatted request to an appropriate WebDAV
7 enabled HTTP server;
8 receiving a response from the WebDAV enabled HTTP server; and
9 transferring an information contained in the response.

1 31. The machine readable medium of Claim 30 wherein receiving a file system request
2 comprises:
3 obtaining the file system request from an operating system extension.

1 32. The machine readable medium of Claim 30 wherein receiving a file system request
2 comprises:
3 obtaining at least a uniform resource identifier (URI) and a request type.

1 33. The machine readable medium of Claim 32 wherein creating comprises:
2 selecting an appropriate WebDAV/HTTP method responsive to the request type.

1 34. The machine readable medium of Claim 30 wherein the instructions executed by the
2 processor cause the system to perform operations further comprising:
3 extracting an information from the response.

1 35. The machine readable medium of Claim 30 wherein extracting comprises:
2 converting a WebDAV/HTTP status code to a corresponding local operating system
3 error code.

1 36. The machine readable medium of Claim 34 wherein the instructions executed by the
2 processor cause the system to perform operations further comprising:
3 creating a local cache file to store at least the information.

1 37. The machine readable medium of Claim 36 wherein transferring comprises:
2 passing a file handle to the local cache file or at least a portion of the information.

1 38. The machine readable medium of Claim 34 wherein the instructions executed by the
2 processor cause the system to perform operations further comprising:
3 updating a local cache file responsive to the information.

1 39. A computer system comprising:
2 at least one application program;
3 an operating system providing a file system interface;
4 an operating system extension to receive from the file system interface of the
5 operating system a request for a remotely stored file that initiated from the application
6 program and to forward the request for the remotely stored file;
7 a network access application program to receive the request for the remotely stored
8 file from the operating system extension, to translate a file name information specified in the
9 request from a local file system syntax to a remote server syntax, and to package the request
10 according to a well known protocol for communication to a user specified remote computer
11 system over a network.

1 40. The computer system of Claim 39 wherein the network access application program
2 reformats a response received from the user specified remote computer system, including
3 reverse translating any file name information from a remote server syntax to a local file
4 system syntax, and forwards a reformatted response to the operating system extension
5 program.

1 41. The computer system of Claim 40 wherein the remote server syntax is the syntax of
2 a uniform resource identifier (URI).

1 42. A method comprising:
2 receiving a file system request from an application program;
3 if the file system request involves a remote file system on a remote computer
4 system, forwarding the request to a network access application program which translates a
5 file name information specified in the request from a local file system syntax to a remote
6 server syntax and communicates the request in a well known format to the remote computer
7 system over a wide area network;
8 reformatting a response from the remote computer system forwarded by the remote
9 access application program which reverse translates any file name information specified in
10 the response from the remote server syntax to the local file system syntax; and
11 transferring the reformatted response to the application program.

1 43. The method of Claim 42 wherein receiving comprises:
2 obtaining the file system request via a local file system interface of an operating
3 system.

1 44. The method of Claim 42 wherein the remote server syntax is the syntax of a
2 uniform resource identifier (URI).

ABSTRACT

A system and method by which users via programs on one computer may seamlessly access files remotely stored on other computers that run a well known file access protocol. All programs running on a personal computer may access remote files as easily and in the same manner as accessing files on the personal computer's file system without requiring any changes to the program's method of communicating with the computer's existing file system. An operating system extension and an application level network access program are provided. The operating system extension receives file system requests for remote files from the operating system that were issued according to a well known application program interface. The operating system extension forwards the remote file system request to the network access program. The network access program reformats the request according to a well known application level network protocol extension and sends it over a network to a remote computer system. The network access program receives responses over the network from the remote computer system in the well known format, processes the response, and forwards pertinent information to the operating system extension. The operating system extension then passes responsive information to the program that issued the remote file system request via the well known application program interface. The remote file system may be cached on the local file system so that remote file system requests may be enacted on the locally cached copy. In one embodiment, personal computers access files on remote computers over the Internet via the distributed authoring and versioning (WebDAV) protocol extension to the hypertext transfer protocol (HTTP).

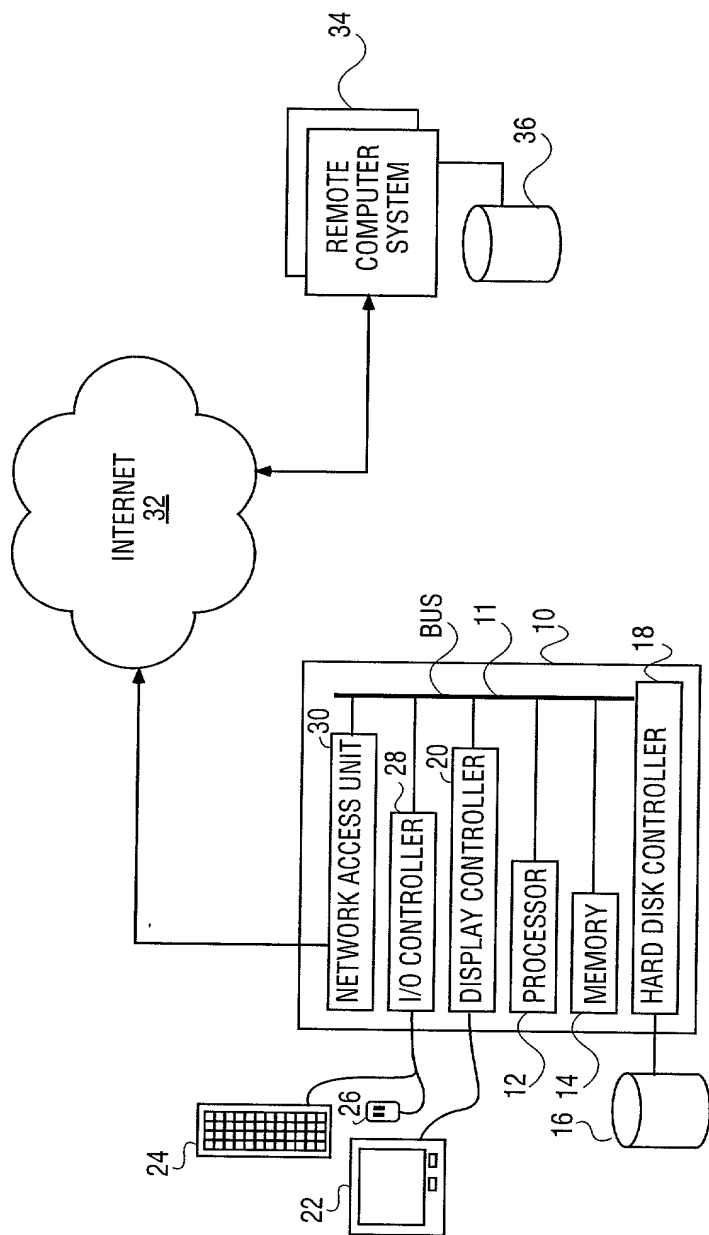


FIG. 1

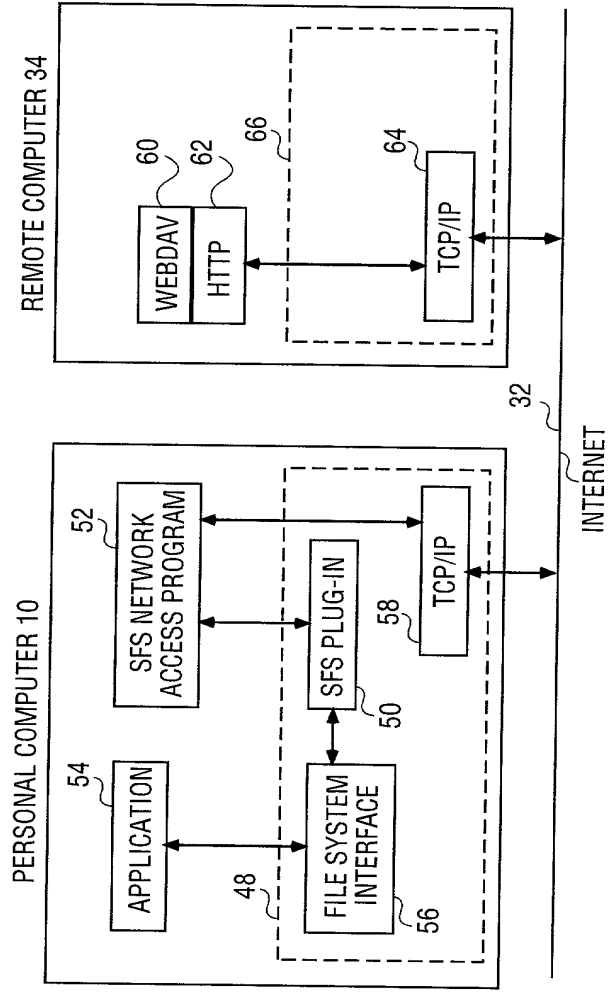


FIG. 2

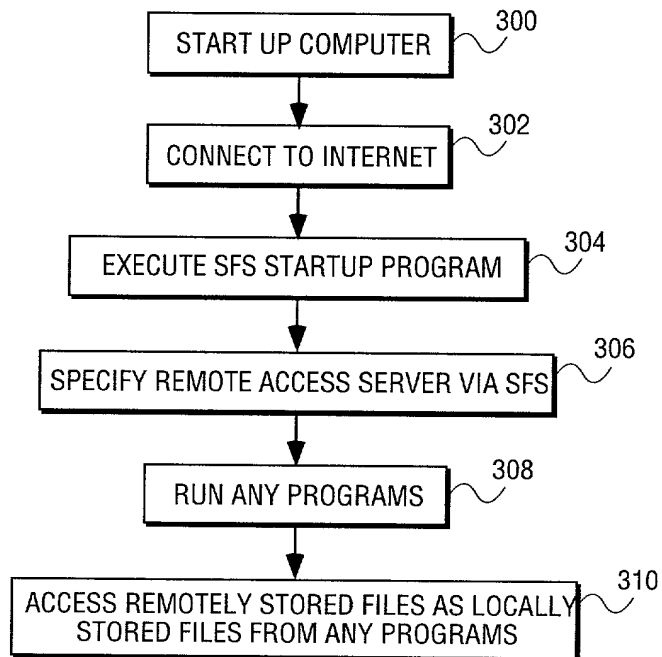


FIG. 3

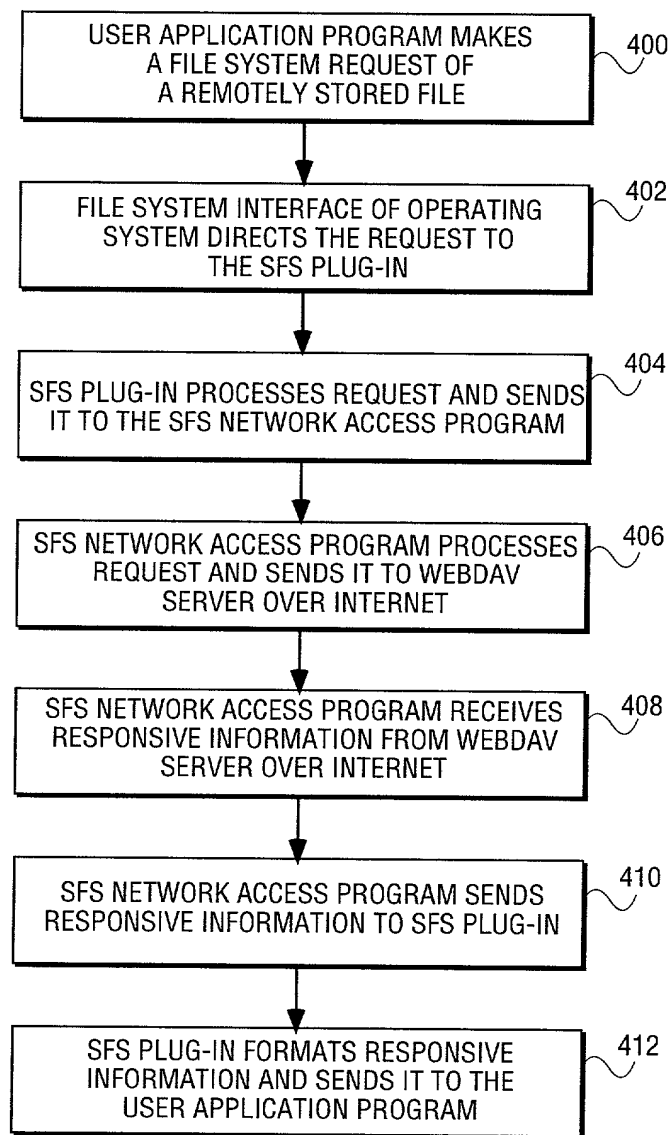


FIG. 4A

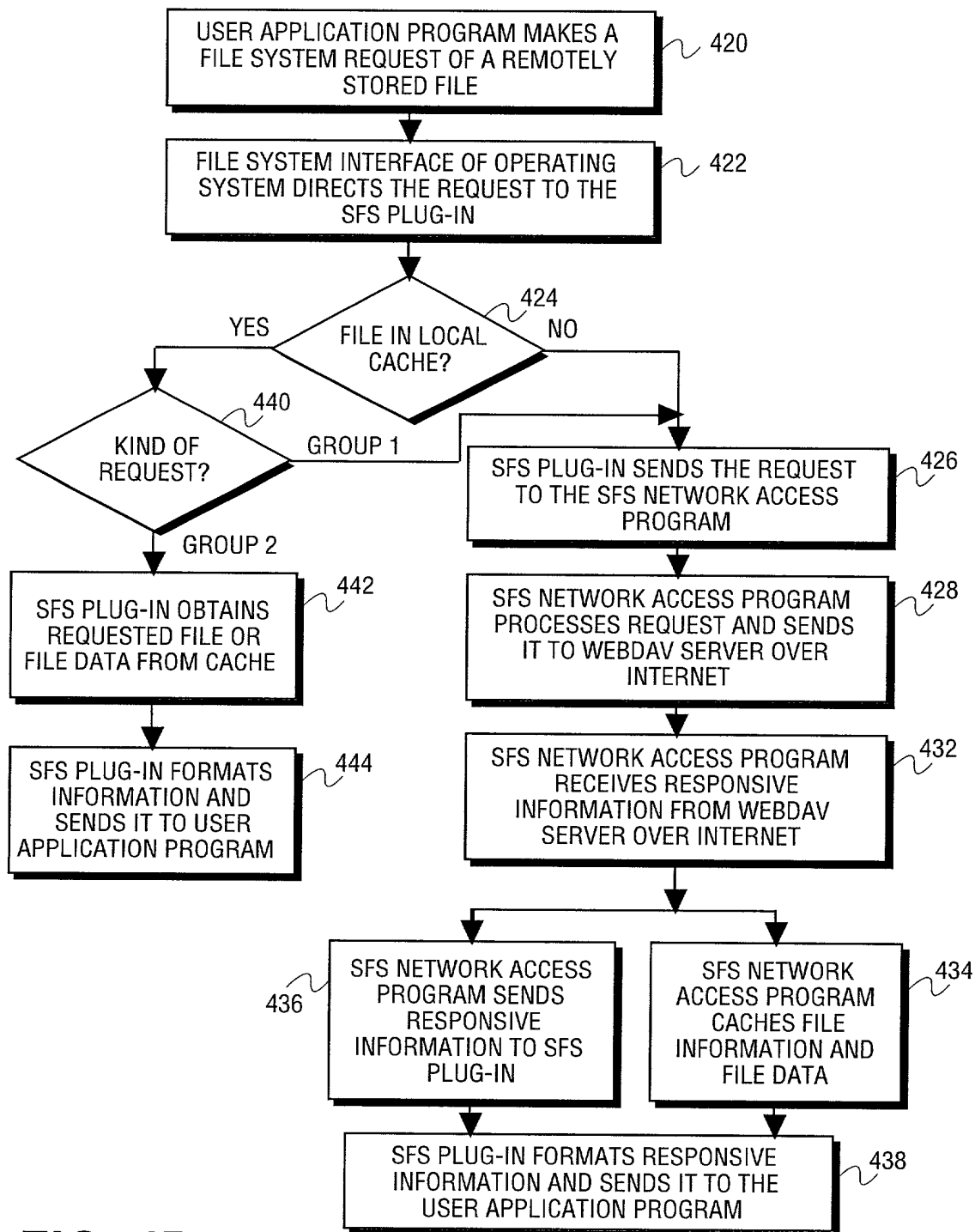


FIG. 5

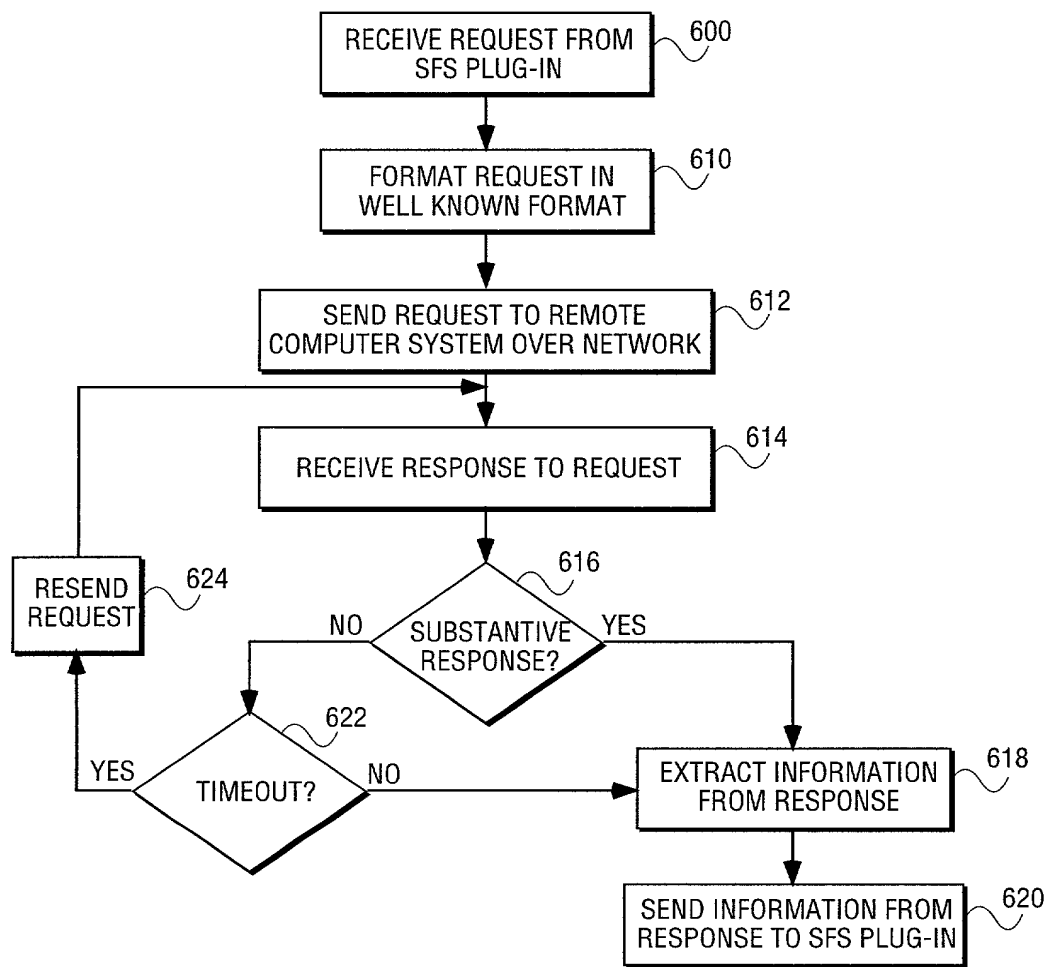


FIG. 6

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or any original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

METHOD AND SYSTEM FOR SEAMLESSLY ACCESSING REMOTELY STORED FILES

the specification of which

☒ is attached hereto.
☐ was filed on _____ as
 United States Application Number _____
 or PCT International Application Number _____
 and was amended on _____
 (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above. I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, and that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

APPLICATION NUMBER	COUNTRY (OR INDICATE IF PCT)	DATE OF FILING (day, month, year)	PRIORITY CLAIMED
			<input type="checkbox"/> No <input type="checkbox"/> Yes
			<input type="checkbox"/> No <input type="checkbox"/> Yes
			<input type="checkbox"/> No <input type="checkbox"/> Yes

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below:

APPLICATION NUMBER	FILING DATE


I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

APPLICATION NUMBER	FILING DATE	STATUS (ISSUED, PENDING, ABANDONED)

I hereby appoint BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, a firm including: William E. Alford, Reg. No. 37,764; Farzad E. Amini, Reg. No. 42,261; Aloysius T. C. AuYeung, Reg. No. 35,432; William Thomas Babbitt, Reg. No. 39,591; Carol F. Barry, Reg. No. 41,600; Jordan Michael Becker, Reg. No. 39,602; Lisa N. Benado, Reg. No. 39,995; Bradley J. Bereznek, Reg. No. 33,474; Michael A. Bernadicon, Reg. No. 35,934; Roger W. Blakely, Jr., Reg. No. 25,831; R. Alan Burnett, Reg. No. 46,149; Gregory D. Caldwell, Reg. No. 39,926; Andrew C. Chen, Reg. No. 43,544; Thomas M. Coester, Reg. No. 39,637; Donna Jo Coningsby, Reg. No. 41,684; Florin Corie, Reg. No. 46,244; Dennis M. deGuzman, Reg. No. 41,702; Stephen M. De Klerk, Reg. No. P46,503; Michael Anthony DeSanctis, Reg. No. 39,957; Daniel M. De Vos, Reg. No. 37,813; Robert Andrew Diehl, Reg. No. 40,992; Sanjeet Dutta, Reg. No. P46,145; Matthew C. Fagan, Reg. No. 37,542; Tarek N. Fahmi, Reg. No. 41,402; George Fountain, Reg. No. 37,374; Paramita Ghosh, Reg. No. 42,806; James Y. Go, Reg. No. 40,621; James A. Henry, Reg. No. 41,064; Willmore F. Holbrow III, Reg. No. P41,845; Sheryl Sue Holloway, Reg. No. 37,850; George W. Hoover II, Reg. No. 32,992; Eric S. Hyman, Reg. No. 30,139; William W. Kidd, Reg. No. 31,772; Sang Hui Kim, Reg. No. 40,450; Walter T. Kim, Reg. No. 42,731; Eric T. King, Reg. No. 44,188; Erica W. Kuo, Reg. No. 42,775; George Brian Leavell, Reg. No. 45,436; Gordon R. Lindeen III, Reg. No. 33,192; Jan Carol Little, Reg. No. 41,181; Kurt P. Leyendecker, Reg. No. 42,799; Joseph Lutz, Reg. No. 43,765; Michael J. Mallie, Reg. No. 36,591; Andre L. Marais, under 37 C.F.R. § 10.9(b); Paul A. Mendonsa, Reg. No. 42,879; Clive D. Menezes, Reg. No. 45,493; Chun M. Ng, Reg. No. 36,878; Thien T. Nguyen, Reg. No. 43,835; Thinh V. Nguyen, Reg. No. 42,034; Dennis A. Nicholls, Reg. No. 42,036; Daniel E. Ovanezian, Reg. No. 41,236; Kenneth B. Paley, Reg. No. 38,989; Marina Portnova, Reg. No. P45,750; William F. Ryann, Reg. No. 44,313; James H. Salter, Reg. No. 35,668; William W. Schaal, Reg. No. 39,018; James C. Scheller, Reg. No. 31,195; Jeffrey Sam Smith, Reg. No. 39,377; Maria McCormack Sobrino, Reg. No. 31,639; Stanley W. Sokoloff, Reg. No. 25,128; Judith A. Szepesi, Reg. No. 39,393; Vincent P. Tassinari, Reg. No. 42,179; Edwin H. Taylor, Reg. No. 25,129; John F. Travis, Reg. No. 43,203; Joseph A. Twarowski, Reg. No. 42,191; Tom Van Zandt, Reg. No. 43,219; Lester J. Vincent, Reg. No. 31,460; Glenn E. Von Tersch, Reg. No. 41,364; John Patrick Ward, Reg. No. 40,216; Mark L. Watson, Reg. No. P46,322; Thomas C. Webster, Reg. No. P46,154; Steven D. Yates, Reg. No. 42,242; and Norman Zafman, Reg. No. 26,250; my patent attorneys, and Pirasat Ali, Reg. No. 45,715; and Justin M. Dillon, Reg. No. 42,486; my patent agents, of BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP with offices located at 12400 Wilshire Boulevard, 7th Floor, Los Angeles, California 90025, telephone (310) 207-3800, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith. I also hereby appoint Mark Aaker, Reg. No. 32,667; Paul D. Carmichael, Reg. No. 18,679; Vernon Randall Gard, Reg. No. 33,886; David J. Larwood, Reg. No. 33,191; Richard Liu, Reg. No. 34,377; Helene Plotka Workman, Reg. No. 35,981; Edward W. Scott IV, Reg. No. 36,000; and Nancy R. Simon, Reg. No. 36,930; my attorneys of APPLE COMPUTER, INC., located at 1 Infinite Loop, MS: 38-PAT, Cupertino, California 95014, telephone (408) 974-9453, with full power of substitution and revocation, to prosecute this application and to transact all business to the Patent and Trademark Office connected herewith.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Title 37, Code of Federal Regulations, Section 1.56
Duty to Disclose Information Material to Patentability.

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made or record in the application, and

- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
- (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
- (1) Each inventor named in the application;
 - (2) Each attorney or agent who prepares or prosecutes the application; and
 - (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.